#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden 0.5 hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

to-Buy) Stock Option

(Rightto-Buy) Stock Option (Right-

to-Buy)

\$ 119.77

\$ 120.28

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the

•	ction 1(b).	•		Ín	ves	tment	t Coı	npany	Act	of 194	0							
1. Name ar	pe Response nd Address of haddeus B	s) f Reporting Person		2. Issue					Tradin	g Symb	ol	5		nip of Report	k all applica	ble)		
(Last) (First) (Middle) 500 JACKSON STREET				3. Date of Earliest Transaction (Month/Day/Year) 03/06/2017								Director 10% Owner X Officer (give title below) Other (specify below)  VP - Corporate Strategy						
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)								6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
	BUS, IN 4											-	rom med	by More than One	e Reporting Perso	OII		
(Cit	у)	(State)	(Zip)				Tabl	e I - N	on-Der	ivative	Securiti	es Acquir	red, Dispos	ed of, or Ben	eficially Ow	ned		
(Instr. 3) Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		(Instr. 8)		(	4. Securities Acquir (A) or Disposed of (Instr. 3, 4 and 5)		of (D)	Owned Foll Transaction	* *		Ownershi Form:	p of I Ber	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code		V	Amount (A) or (D)		Price	(Instr. 3 and 4)			Direct (D or Indirec (I) (Instr. 4)			
Common	1		03/06/2017			A		4	162	A	\$ 0	3,543.932 (1)			D			
Common	1		03/06/2017				F	(2)	1	74	ID I	\$ 152.85	3,369.932	2		D		
Common	1												1,723.7	3)		I	By 40 Pla	1(k)
			Table II -	· Derivat	ive S	Securi	ties A	(	displa	ys a cı	urrently	valid Ol	MB contro	d unless th I number.	e form			
1 77'-1 6	l <sub>a</sub>	la m	[a, p, ]	(e.g., pu	ts, c		arrai				ble secu			lon: /	10.37 1	6 10		11 37 .
1. Title of Derivative Security (Instr. 3)	Conversion	Date Execution Date, if Transaction Number Expi		Expir (Mon	Expiration Date of Un Month/Day/Year) Secur			of Unde Securiti	derlying ties Security (Instr. 5)  Instr. 5)  Derivative Security Security (Instr. 5)  Derivative Security Secu			Owne Form Deriv Secur Direct or Ind	of ative ity:	11. Natur of Indire Beneficia Ownersh (Instr. 4)				
				Code	V	(A)	(D)	Date Exerc	isable	Expi Date	ration	Title	Amount or Number of Shares					
Stock Option (Right- to-Buy)	\$ 109.09							04/0	4/201	9 04/0	04/2026	Comm	non 10,67	0	10,670	) [	)	
Stock Option (Right-	\$ 111.84							04/0	2/201	6 04/0	02/2023	Comm	non 1,910	)	1,910	Г	)	

05/02/2014 05/02/2021 Common

04/02/2015 | 04/02/2022 | Common | 1,290

980

980

1,290

D

D

Stock Option (Right- to-Buy)	\$ 136.82				04/02/2018	04/02/2025	Common	4,470	4,470	D	
Stock Option (Right- to-Buy)	\$ 149.34				04/02/2017	04/02/2024	Common	1,600	1,600	D	

## **Reporting Owners**

D (1 0 N )	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Ewald Thaddeus B 500 JACKSON STREET COLUMBUS, IN 47201			VP - Corporate Strategy					

## **Signatures**

/s/ Mark Siffer	03/08/2017	
**Signature	of Reporting Person	Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 27.783 shares acquired under the Cummins Inc. Dividend Reinvestment Plan since the most recently filed Form 4.
- (2) Shares withheld to satisfy tax liabilities relating to earned performance shares.
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

  (3) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or
- cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.