

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * <b>Ward Pat</b>			2. Issuer Name and Ticker or Trading Symbol <b>CUMMINS INC [CMI]</b>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ <input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below) _____ <b>VP - Chief Financial Officer</b>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <b>10/02/2018</b>					
500 JACKSON STREET								
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
COLUMBUS, IN 47201								
(City) (State) (Zip)			<b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common	04/05/2018		G	V	2,282	D	\$ 0	0 <sup>(1)</sup>	D	
Common	10/02/2018		G	V	7,984	A	\$ 0	7,984 <sup>(2)</sup>	D	
Common	10/02/2018		S		2,282 <sup>(3)</sup>	D	\$ 147.98	5,702	D	
Common	10/03/2018		S		2,221 <sup>(3)</sup>	D	\$ 149.9	3,481	D	
Common	04/05/2018		G	V	2,282	A	\$ 0	27,232	I	By Trust
Common	10/02/2018		G	V	7,984	D	\$ 0	19,248 <sup>(4)</sup>	I	By Trust
Common								1,226.46 <sup>(5)</sup>	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Stock Option (Right-to-Buy)	\$ 109.09							04/04/2019	04/04/2026	Common	38,810	38,810	D	
Stock Option (Right-to-Buy)	\$ 111.84							04/02/2015	04/02/2023	Common	15,020	15,020	D	
Stock Option (Right-to-Buy)	\$ 120.28							04/02/2014	04/02/2022	Common	11,030	11,030	D	

Stock Option (Right-to-Buy)	\$ 136.82							04/02/2018	04/02/2025	Common	15,420		15,420	D	
Stock Option (Right-to-Buy)	\$ 149.34							04/02/2017	04/02/2024	Common	11,220		11,220	D	
Stock Option (Right-to-Buy)	\$ 149.72							04/03/2020	04/03/2027	Common	25,010		25,010	D	
Stock Option (Right-to-Buy)	\$ 160.1							04/03/2021	04/03/2028	Common	17,380		17,380	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ward Pat 500 JACKSON STREET COLUMBUS, IN 47201			VP - Chief Financial Officer	

## Signatures

/s/ Mark Sifferlen, Attorney-In-Fact	10/04/2018
<small>Signature of Reporting Person</small>	<small>Date</small>

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a negative adjustment of 16 shares since the Reporting Person's last Form 4 to reflect an increase in the number of shares withheld to satisfy tax liabilities related to earned performance shares.
- (2) Reflects an increase for 7,984 shares previously held by the Diane E. Ward Revocable Trust, of which the reporting person is the trustee and disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (3) Sale pursuant to Rule 10b5-1 Trading Plan entered into on August 3, 2018.
- (4) Reflects a decrease of 7,984 shares that were distributed to the reporting person.  
The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.
- (5) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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