FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROV | /AL |
|----------------------|-----------|
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| hours per response | 1.0 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b). Form 3 Holdings Reported

Form 4 Transactions

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Report Smith Mark Andrew | Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | |
|--|--|--|---|------------|---|--|--|-------|--------------------|-------------------------|
| (Last) (Fig. 500 JACKSON STREE | Ö | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2018 | | | | | X Officer (give title below) Other (specify below) VP - Operations Finance | | | |
| (St | 4 | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Reporting (check applicable line) | | | |
| COLUMBUS, IN 47201 | | | | | | | _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person | | | |
| (City) (S | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
| 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yea | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | (Instr. 8) | (A) or Disposed of (D) (Instr. 3, 4 and 5) | | f(D) | | Ownership Form: | Beneficial Ownership |
| Common | | | | | | | | 3,962 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|--|-------------|------------------|--------------------|-------------|-----------|-------|-----------------------|------------|---------------|--------|-------------|--------------|-------------|-------------|
| 1. Title of | 2. | 3. Transaction | 3A. Deemed | 4. | 5. | | 6. Date Exercis | sable and | 7. Title and | Amount | 8. Price of | 9. Number | 10. | 11. Nature |
| Derivative | Conversion | Date | Execution Date, if | Transaction | Numb | er | Expiration Dat | e | of Underlyin | ng | Derivative | of | Ownership | of Indirect |
| Security | or Exercise | (Month/Day/Year) | any | Code | of | | (Month/Day/Y | ear) | Securities | | Security | Derivative | Form of | Beneficial |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Deriv | ative | Ì | Í | (Instr. 3 and | . 4) | (Instr. 5) | Securities | Derivative | Ownership |
| | Derivative | | | | Secur | ities | | | | | | Beneficially | Security: | (Instr. 4) |
| | Security | | | | Acqu | ired | | | | | | Owned at | Direct (D) | |
| | _ | | | | (A) or | | | | | | | End of | or Indirect | |
| | | | | | Dispo | sed | | | | | | Issuer's | (I) | |
| | | | | | of (D) |) | | | | | | | (Instr. 4) | |
| | | | | | (Instr. | 3, | | | | | | (Instr. 4) | | |
| | | | | | 4, and 5) | | | | | | | | | |
| | | | | | | | | | Amount | | | | | |
| | | | | | | | | | | or | | | | |
| | | | | | | | Date | Expiration | Title | Number | | | | |
| | | | | | | | Exercisable | Date | TILL | of | | | | |
| | | | | | (A) | (D) | | | | Shares | | | | |
| | | | | | (11) | (D) | | | | Shares | | | | |
| Stock | | | | | | | | | | | | | | |
| Option | 0 114 12 | 06/01/2016 | | | 070 | | 06/01/2010 | 06/01/2026 | C | 970 | Φ.Ω | 070 | D | |
| (Right- | \$ 114.13 | 06/01/2016 | | A | 970 | | 06/01/2019 | 06/01/2026 | Common | (1) | \$ 0 | 970 | D | |
| ` • | | | | | | | | | | | | | | |
| to-Buy) | | | | | | | | | | | | | | |
| Stock | | | | | | | | | | | | | | |
| Option | | | | | | | | | _ | | | | _ | |
| (Right- | \$ 109.09 | | | | | | 04/04/2019 | 04/04/2026 | Common | 4,360 | | 4,360 | D | |
| | | | | | | | | | | | | | | |
| to-Buy) | | | | | | | | | | | | | | |
| Stock | | | | | | | | | | | | | | |
| Option | | | | | | | | | | | | | | |
| | \$ 111.84 | | | | | | 04/02/2015 | 04/02/2023 | Common | 770 | | 770 | D | |
| (Right- | | | | | | | | | | | | | | |
| to-Buy) | | | | | | | | | | | | | | |
| Stock | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| Option | \$ 119.77 | | | | | | 05/02/2013 | 05/02/2021 | Common | 528 | | 528 | D | |
| (Right- | Ψ 117.77 | | | | | | 05/02/2015 | 03/02/2021 | Common | 320 | | 320 | | |
| to-Buy) | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| Stock | | | | | | | | | | | | | | |
| Option | | | | | | | | | | | | | | |
| (Right- | \$ 120.28 | | | | | | 04/02/2014 | 04/02/2022 | Common | 590 | | 590 | D | |
| to-Buy) | | | | | | | | | | | | | | |
| io-buy) | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

| Stock Option (Right- to-Buy) | \$ 136.82 | | | 04/02/2018 | 04/02/2025 | Common | 1,790 | 1,790 | D | |
|---------------------------------------|-----------|--|--|------------|------------|--------|-------|-------|---|--|
| Stock Option (Right- to-Buy) | \$ 149.34 | | | 04/02/2017 | 04/02/2024 | Common | 1,070 | 1,070 | D | |
| Stock Option (Right- to-Buy) | \$ 149.72 | | | 04/03/2020 | 04/03/2027 | Common | 4,380 | 4,380 | D | |
| Stock Option (Right- to-Buy) | \$ 160.1 | | | 04/03/2021 | 04/03/2028 | Common | 6,080 | 6,080 | D | |

Reporting Owners

| Banasting Owner Name / | Relationships | | | | | | |
|---|---------------|--------------|-------------------------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Smith Mark Andrew 500 JACKSON STREET COLUMBUS, IN 47201 | | | VP - Operations Finance | | | | |

Signatures

| /s/ Mark Sifferlen, Attorney-In-Fact | 01/18/2019 |
|--------------------------------------|------------|
| Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents options beneficially held by the reporting person on 6/1/2016, the date on which the reporting person became an officer of Cummins Inc., that were inadvertently omitted from reporting person's Form 3 filing.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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