UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

longer subject to Section 16. Form 4 or Form 5 obligations

\$ 111.84

\$ 119.77

\$ 120.28

(Rightto-Buy) Stock Option (Right-

to-Buy) Stock Option

(Rightto-Buy)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the

| • | ction 1(b). | • | | Ín | ves | tment | t Coı | npany | Act o | of 194 | 0 | | , | | | | | |
|--|--|------------------------|---|--|---|-------------|---|---------------|--|-----------------|---|--|--|--------------------|--|--------------------|-------|-------------------|
| 1. Name ar | rpe Response nd Address of haddeus B | s) f Reporting Person* | | | | | | | Trading | Symb | ol | 5 | i. Relationshi | | k all applical | ble) | r | |
| (Last) (First) (Middle) 500 JACKSON STREET | | | | CUMMINS INC [CMI] 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2020 | | | | | | | | Director 10% Owner X Officer (give title below) Other (specify below) VP - Corporate Strategy | | | | | | |
| (Street) | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | | | | |
| COLUMBUS, IN 47201 (City) (State) (Zip) | | | | Table I - Non-Derivative Securities Acqui | | | | | | | | - | Form filed by More than One Reporting Person | | | | | |
| | | | 2. Transaction | 24 Day | | | 1 | ransact | | | | | | | | 1 | 7 | Nature |
| (Instr. 3) | (Instr. 3) | | Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Cod (Ins | | (4 | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | | Ownership Form: | | Indirect eneficial | | |
| | | | | | | | ode | VA | mount | (A) or (D) | Price | (Instr. 3 and 4) | | or Indir (I) | Direct (D) Ow (Ins (I) (Instr. 4) | | | |
| Common | 1 | | 03/01/2020 | | | | | A | 3 | ,580 | A | \$ 0 | 9,753 | | | D | | |
| Common | 1 | | 03/01/2020 | | | | F | z <u>(1)</u> | 1 | ,077 | D | \$ 151.29 | 8,676 | | | D | | |
| Common | 1 | | | | | | | | | | | | 2,137.87 (2 | D. | | I | | y 01(k) lan |
| Reminder: | Keport on a s | separate line for each | Table II - | | | | | | Person in this display | s who form a | are not i urrently | required valid ON | collection to respond //B control i | unless th | | ined S | EC 14 | 74 (9-02) |
| | 1 | ı | | | | alls, w | | nts, op | tions, co | nverti | ble secu | rities) | | | | | | |
| Security or Exercise (Month/Day/Year) any | | Execution Date, if | 4. Transaction Number Code (Instr. 8) Deriv Secur Acqu (A) or Disport of (D) (Instr. 4, and | | Expirat (Month vative rities ired r osed) : 3, | | Date Exercisable and spiration Date 40nth/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | | y Der Sec Dire or In (s) (I) | n of Î | 11. Natur of Indire Beneficia Ownersh (Instr. 4) | | | |
| | | | | Code | v | (A) | (D) | Date Exerc | isable | Expi Date | ration | Title | Amount or Number of Shares | | | | | |
| Stock Option (Right- to-Buy) | \$ 109.09 | | | | | | | 04/0 | 4/2019 | 04/0 | 04/2026 | Comm | on 10,670 | | 10,670 | | D | |
| Stock Option | ¢ 111 Q/ | | | | | | | 04/0 | 2/2014 | 04/0 | 2/2022 | Comm | on 1 010 | | 1 010 | | D | |

04/02/2016 04/02/2023 Common 1,910

04/02/2015 | 04/02/2022 | Common | 1,290

980

05/02/2014 05/02/2021 Common

1,910

980

1,290

D

D

D

| Stock Option (Right- to-Buy) | \$ 136.82 | | | 04/02/2018 | 04/02/2025 | Common | 4,470 | 4,470 | D | |
|---------------------------------------|-----------|--|--|------------|------------|--------|-------|-------|---|--|
| Stock Option (Right- to-Buy) | \$ 146.18 | | | 11/01/2017 | 11/01/2024 | Common | 890 | 890 | D | |
| Stock Option (Right- to-Buy) | \$ 149.34 | | | 04/02/2017 | 04/02/2024 | Common | 1,600 | 1,600 | D | |
| Stock Option (Right- to-Buy) | \$ 149.72 | | | 04/03/2020 | 04/03/2027 | Common | 7,500 | 7,500 | D | |
| Stock Option (Right- to-Buy) | \$ 160.1 | | | 04/03/2021 | 04/03/2028 | Common | 6,520 | 6,520 | D | |
| Stock Option (Right- to-Buy) | \$ 163.43 | | | 04/04/2022 | 04/04/2029 | Common | 9,250 | 9,250 | D | |

Reporting Owners

| B (1 0 N / | Relationships | | | | | | | | |
|--|---------------|--------------|-------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | |
| Ewald Thaddeus B 500 JACKSON STREET COLUMBUS, IN 47201 | | | VP - Corporate Strategy | | | | | | |

Signatures

| /s/ Mark Sifferlen, Attorney-In-Fact | 03/03/2020 |
|--------------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax liabilities relating to earned performance shares.
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

 (2) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.