## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

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625

longer subject to Section 16. Form 4 or Form 5 obligations

to-Buy) Stock Option

(Rightto-Buy) \$ 111.84

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

	ontinue. See ction 1(b).	Filed pur	suant to Section			tment (			_		934	or Sect	10n 30(h) c	of the			
1. Name an	pe Response ad Address of Christopher	f Reporting Person*				ame and			rading S	Symbol		5	. Relationship		all applicab	le)	
(Last) (First) (Middle) 500 JACKSON STREET			CUMMINS INC [CMI]  3. Date of Earliest Transaction (Month/Day/Year) 04/06/2020									Director10% Owner  XOfficer (give title below)Other (specify below)  VP - Corporate Controller					
COLUM	BUS, IN 4	(Street)		4. If Am	endr	nent, Da	te O	riginal l	Filed(Mo	nth/Day/Year	)		. Individual o X_Form filed by _Form filed by	One Reporting			ine)
(Cit		(State)	(Zip)			1	able	e I - No	n-Deriv	ative Secu	rities	Acquir	ed, Disposed	of, or Bene	ficially Own	ed	
(Instr. 3) Date		2. Transaction Date (Month/Day/Year	2A. Deemed Execution D any (Month/Day		ed Date, if	(Instr. 8)		ion 4.	4. Securities Acq (A) or Disposed of (Instr. 3, 4 and 5)		aired 5 of (D) C	5. Amount of Securities Beneficially Owned Following Reported Ovariant Transaction(s) (Instr. 3 and 4) Or			7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							C	Code	V A		(D)	Price				(I) (Instr. 4)	
Common	1											2	,800.359			D	
Common	ı											2	90.75 (1)			I	By 401(k) Plan
Derivative Conversion Date Security or Exercise (Month/Day/Year)		Table II -  3A. Deemed Execution Date, if any (Month/Day/Year)	(e.g., puts, call 4. 5 Transaction or Code E (Instr. 8) S A (LC) (Instr. 8) C (IC) (IC) (IC) (IC) (IC) (IC) (IC) (IC		5. Num of Derivat Securit Acquire (A) or Dispose of (D) (Instr. 3	ber ive ies ed	rants, options, coper 6. Date Exe Expiration I (Month/Day es d		posed of, or Benef convertible securing reisable and Date					9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Owners Form o Derivat Securit Direct ( or India	Owners (Instr. 4 (D) rect	
				Code	V	(A)	(D)	Date Exerci	isable	Expiratio Date	n	Title	Amount or Number of Shares				
Stock Option (Right- to-Buy)	\$ 142.12	04/06/2020		A		3,200		04/06	6/2023	04/06/2	030	Comm	aon 3,200	\$ 0	3,200	D	
Stock Option (Right- to-Buy)	\$ 98.67							11/13	3/2015	11/13/2	025	Comm	aon 300		300	D	
Stock Option (Right- to-Buy)	\$ 99							08/03	3/2012	08/03/2	022	Comm	non 200		200	D	
Stock Option (Right-	\$ 109.09							04/04	4/2019	04/04/2	026	Comm	ion 1,265		1,265	D	

04/02/2015 | 04/02/2023 | Common

Stock Option (Right- to-Buy)	\$ 119.77			05/02/2013	05/02/2021	Common	330	330	D	
Stock Option (Right- to-Buy)	\$ 120.28			04/02/2014	04/02/2022	Common	370	370	D	
Stock Option (Right- to-Buy)	\$ 136.82			04/02/2018	04/02/2025	Common	585	585	D	
Stock Option (Right- to-Buy)	\$ 149.34			04/02/2017	04/02/2024	Common	455	455	D	
Stock Option (Right- to-Buy)	\$ 149.72			04/03/2020	04/03/2027	Common	2,190	2,190	D	
Stock Option (Right- to-Buy)	\$ 160.1			04/03/2021	04/03/2028	Common	1,960	1,960	D	
Stock Option (Right- to-Buy)	\$ 163.43			04/04/2022	04/04/2029	Common	3,390	3,390	D	

# **Reporting Owners**

D (1 0 N (	Relationships									
Reporting Owner Name / Address	Director	10% Owner	Officer	Other						
Clulow Christopher C										
500 JACKSON STREET			VP - Corporate Controller							
COLUMBUS, IN 47201										

# **Signatures**

/s/ Mark Sifferlen, Attorney-In-Fact	04/08/2020
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

  (1) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.