FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

to-Buy) Stock Option

(Rightto-Buy) \$ 136.82

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| - | ction 1(b). | | | In | vest | tment | Cor | npany | Act o | of 194 | 0 | | | | | | | | |
|--|--------------|--|---|---|-------|---|--|------------------------------|--------|--------------|----------------------------------|---|---------------------------|--|------------|---|--|---------------------------|----------------------|
| | rpe Response | s) f Reporting Person* | | 2. Issue | er Na | ame an | ıd Tic | cker or T | rading | g Symb | ol | | 5. Rel | lationship | | ng Person(s) | | | |
| Cook Jill E | | | | 2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI] S. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | | | | | | | | | | | |
| (Last) (First) (Middle) 500 JACKSON STREET | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021 | | | | | | | | X_Officer (give title below) Other (specify below) Chief Human Resources Officer | | | | | | | |
| (Street) COLUMBUS, IN 47201 | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting Person | | | | | | | |
| (Cit | | (State) | (Zip) | | | | Tabl | o I Nov | n Dor | ivetive | Conwiti | ios Aggui | irod I | Disposed | of or Pono | ficially Owi | and | | - |
| 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) | | | 2A. Deemed Execution Date, i | | | 3. T Cod | ransactio | on 4 | | | | red 5. Amount of | | Securities Beneficially wing Reported | | 6. | 7. Nature of Indirect Beneficial | | |
| | | | | (Month/Day/Year | | /Year) | Code | | V A | mount | (A) or (D) | Price | C | | | | | p | |
| Common | 1 | | 03/01/2021 | | | | | A | | ,340 | A | \$ 0 | 19,187 | | | D | | | |
| Common | 1 | | 03/01/2021 | | | | F ⁽¹⁾ | | 6 | 98 | D | \$ 262.98 | 18,489 | | D | | | | |
| Common | | | | | | | | | | | | 2,479.49 (2) | | 1 | | I | By 401(k) Plan | | |
| | | | Table II - | | | | | cquired | , Disp | osed o | - | eficially | | ontrol n | | | | | |
| 1. Title of Derivative Security (Instr. 3) | Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | | 5. Numl of Deriv Secur Acqu (A) o Dispo of (D (Instr 4, and | vative rities ired r osed) | 6. Date Expirat (Month | ion D | ate | ble and 7. Title of Und Security | | nderlying De rities Se | | | 9. Number Derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | Owner Form of Deriva Securit Direct or Indi | tive cy: (Instr. (D) rect | irec icia rshi |
| | | | | Code | v | (A) | (D) | Date Exercis | able | Expi Date | ration | Title | | Amount or Number of Shares | | | | | |
| Stock Option (Right- to-Buy) | \$ 109.09 | | | | | | | 04/04/ | /2019 | 04/0 | 04/2026 | 6 Comn | non | 16,490 | | 16,490 | D | | |
| Stock Option (Right- to-Buy) | \$ 111.84 | | | | | | | 04/02/ | /201: | 5 04/0 | 02/2023 | 3 Comn | non | 6,440 | | 6,440 | D | | |
| Stock Option (Right- | \$ 120.28 | | | | | | | 04/02/ | /2014 | 1 04/0 | 02/2022 | 2 Comn | non | 4,410 | | 4,410 | D | | |

04/02/2018 | 04/02/2025 | Common | 6,480

6,480

D

| Stock Option (Right- to-Buy) | \$ 142.12 | | | 04/06/2023 | 04/06/2030 | Common | 9,590 | 9,590 | D | |
|---------------------------------------|-----------|--|--|------------|------------|--------|--------|--------|---|--|
| Stock Option (Right- to-Buy) | \$ 149.34 | | | 04/02/2017 | 04/02/2024 | Common | 4,810 | 4,810 | D | |
| Stock Option (Right- to-Buy) | \$ 149.72 | | | 04/03/2020 | 04/03/2027 | Common | 10,630 | 10,630 | D | |
| Stock Option (Right- to-Buy) | \$ 160.1 | | | 04/03/2021 | 04/03/2028 | Common | 7,390 | 7,390 | D | |
| Stock Option (Right- to-Buy) | \$ 163.43 | | | 04/04/2022 | 04/04/2029 | Common | 11,100 | 11,100 | D | |

Reporting Owners

| D (1 0 N) | Relationships | | | | | | | | |
|---|---------------|--------------|-------------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | |
| Cook Jill E 500 JACKSON STREET COLUMBUS, IN 47201 | | | Chief Human Resources Officer | | | | | | |

Signatures

| /s/ Sharon Barner, Attorney-in-Fact | 03/03/2021 |
|-------------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $\textbf{(1)} \ \ \text{Shares withheld to satisfy tax liabilities relating to earned performance shares}.$
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

 (2) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.