FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See*Instruction 1(b)

Stock Option

(Rightto-Buy) Stock Option

(Rightto-Buy) \$ 120.28

\$ 136.82

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| - | ction 1(b). | | | In | ves | tment | Cor | npany | Act | of 19 | 40 | | | | | | | | |
|--|--------------|--|---|---|-------|------------------|---|---------------------------|---|-------------------------|---------------------------------|--|---|--|--------------|---|--|-------|-----------|
| | rpe Response | | | 2 I | NI. | | a mil | 1 | T 1: | - C | L _1 | | 5 Relati | ionshir | of Reporti | ng Person(s) | to Issuer | | |
| 1. Name and Address of Reporting Person * Embree Tracy A | | | | 2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| (Last) (First) (Middle) 500 JACKSON STREET | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021 | | | | | | | | Director10% Owner X Officer (give title below)Other (specify below) President - Distribution | | | | | | | |
| (Street) | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| - | BUS, IN 4 | | | | | | | | | | | - | | incu by | Wore man one | Reporting 1 erse | л | | |
| (Cit | | (State) | (Zip) | | | | Tabl | e I - No | on-Der | ivativ | e Securiti | es Acqui | red, Dis | posed | of, or Bene | eficially Ow | ned | | |
| 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Y | | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | (Instr. 8) | | (. | 4. Securities Acquir (A) or Disposed of ((Instr. 3, 4 and 5) | | of (D) | Owned Transac | Amount of Securities Beneficially Owned Following Reported Transaction(s) | | Form: | ip of Be | 7. Nature of Indirect Beneficial | | |
| | | | | | | | ode | V | Amoun | (A) or | Price | or Indi (I) | | Direct (D or Indirect (I) (Instr. 4) | | vnership astr. 4) | | | |
| Common | 1 | | 03/01/2021 | | | | 1 | A | | 3,024 | | \$ 0 | 14,42 | 7 | | | D | | |
| Common 03/01/2021 | | | 03/01/2021 | | | F ⁽¹⁾ | | | 392 | D | \$ 262.98 | 13,535 | | D | | | | | |
| Common | | | | | | | | | | | 44.49 ⁽²⁾ | | I | By 40 Pl | 1(k) | | | | |
| | | separate line for each | | Derivati | ive S | Securi | ties A | cquire | Person in this displa d, Disp | ns wh form ys a c | are not incurrently | required valid Ol | I to res MB cor | pond | unless the | tion contai e form | ined SE | C 147 | 74 (9-02) |
| 1. Title of Derivative Security (Instr. 3) | Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code | tion | 5. | ber vative rities ired r osed) | 6. Dat Expira (Mont | Expiration Date of U (Month/Day/Year) Sec | | 7. Title of Unde Securiti | le and Amount derlying being ities Security (Instr. 5) 3 and 4) 8. Price of Derivative Security Securities Beneficial Owned Following Reported Transactio (Instr. 4) | | Ownership Form of Derivative Security: Direct (D) or Indirect | | 11. Natur of Indirect Beneficia Ownershi (Instr. 4) | | | |
| | | | | Code | v | (A) | (D) | Date Exerc | isable | Exp Dat | oiration e | Title | or Nu of | ımber | | | | | |
| Stock Option (Right- to-Buy) | \$ 109.09 | | | | | | | 04/04 | 4/201 | 9 04 | /04/2026 | Comm | non 19 | ,410 | | 19,410 | I |) | |
| Stock Option (Right- to-Buy) | \$ 111.84 | | | | | | | 04/02 | 2/201: | 5 04/ | /02/2023 | Comm | non 1 | ,910 | | 1,910 | Ι |) | |

04/02/2014 | 04/02/2022 | Common | 1,470

04/02/2018 | 04/02/2025 | Common | 6,700

1,470

6,700

D

D

| Stock Option (Right- to-Buy) | \$ 142.12 | | | 04/06/2023 | 04/06/2030 | Common | 13,320 | 13,320 | D | |
|---------------------------------------|-----------|--|--|------------|------------|--------|--------|--------|---|--|
| Stock Option (Right- to-Buy) | \$ 149.34 | | | 04/02/2017 | 04/02/2024 | Common | 1,420 | 1,420 | D | |
| Stock Option (Right- to-Buy) | \$ 149.72 | | | 04/03/2020 | 04/03/2027 | Common | 13,760 | 13,760 | D | |
| Stock Option (Right- to-Buy) | \$ 160.1 | | | 04/03/2021 | 04/03/2028 | Common | 9,560 | 9,560 | D | |
| Stock Option (Right- to-Buy) | \$ 163.43 | | | 04/04/2022 | 04/04/2029 | Common | 13,570 | 13,570 | D | |
| Stock Option (Right- to-Buy) | \$ 166.18 | | | 10/16/2022 | 10/16/2029 | Common | 1,850 | 1,850 | D | |

Reporting Owners

| Post Control | Relationships | | | | | | | | |
|--|---------------|--------------|--------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | |
| Embree Tracy A 500 JACKSON STREET COLUMBUS, IN 47201 | | | President - Distribution | | | | | | |

Signatures

| /s/ Sharon Barner, Attorney-in-Fact | 03/03/2021 |
|-------------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax liabilities relating to earned performance shares.
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

 (2) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.