

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * <b>LINEBARGER NORMAN THOMAS</b>			2. Issuer Name and Ticker or Trading Symbol <b>CUMMINS INC [CMI]</b>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>Chairman &amp; CEO</b>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <b>03/01/2021</b>					
500 JACKSON STREET								
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
COLUMBUS, IN 47201								
(City)			(State)			(Zip)		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common	03/01/2021		A		19,512	A	\$ 0	110,093	D	
Common	03/01/2021		F <sup>(1)</sup>		8,701	D	\$ 262.98	101,392	D	
Common								8,435.56 <sup>(2)</sup>	I	By 401(k) Plan
Common								16,832	I	By Child #1
Common								16,832	I	By Child #2
Common								240	I	By Spouse
Common								34,572	I	GRAT #4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right-to-Buy)	\$ 109.09						04/04/2019	04/04/2026	Common	131,920		131,920	D	
Stock Option (Right-to-Buy)	\$ 111.84						04/02/2015	04/02/2023	Common	60,100		60,100	D	
Stock Option (Right-to-Buy)	\$ 120.28						04/02/2014	04/02/2022	Common	37,510		37,510	D	

Stock Option (Right-to-Buy)	\$ 136.82							04/02/2018	04/02/2025	Common	60,780		60,780	D	
Stock Option (Right-to-Buy)	\$ 142.12							04/06/2023	04/06/2030	Common	95,920		95,920	D	
Stock Option (Right-to-Buy)	\$ 149.34							04/02/2017	04/02/2024	Common	44,890		44,890	D	
Stock Option (Right-to-Buy)	\$ 149.72							04/03/2020	04/03/2027	Common	85,050		85,050	D	
Stock Option (Right-to-Buy)	\$ 160.1							04/03/2021	04/03/2028	Common	61,700		61,700	D	
Stock Option (Right-to-Buy)	\$ 163.43							04/04/2022	04/04/2029	Common	98,670		98,670	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LINEBARGER NORMAN THOMAS 500 JACKSON STREET COLUMBUS, IN 47201	X		Chairman & CEO	

## Signatures

/s/ Sharon Barner, Attorney-in-Fact		03/03/2021
<small>**Signature of Reporting Person</small>		<small>Date</small>

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld to satisfy tax liabilities relating to earned performance shares.

The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

(2) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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