## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Stock Option

(Rightto-Buy) Stock Option

(Rightto-Buy) Stock Option

(Rightto-Buy) Stock Option

(Rightto-Buy) \$ 98.67

\$ 99

\$ 109.09

\$ 111.84

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Instru	ction 1(b).			Inves	tment	Con	npany	Act	t of 194	0						
(Print or Type Responses)  1. Name and Address of Reporting Person* Clulow Christopher C				2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 500 JACKSON STREET				3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021							X Officer (give title below) Other (specify below)  VP - Corporate Controller					
(Street) COLUMBUS, IN 47201				4. If Amendment, Date Original Filed(Month/Day/Year)							6. Individual or Joint/Group Filing/Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting Person					
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
(Instr. 3) Date			2. Transaction Date (Month/Day/Year)		Date, if	(Instr. 8)			4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Form:	7. Nature of Indirect Beneficial	
			(Month/Day/Year		Co	Code V		Amount	(A) or (D)	Price				Ownership (Instr. 4)		
Common	ı		03/01/2021			A	4		624	A	\$ 0	3,534.359			D	
Common 03		03/01/2021			F	(1)		188	D	\$ 262.98	3,346.359		D			
Common											305.38 (2)			I	By 401(k) Plan	
Reminder:	Report on a s	separate line for eac	h class of securities  Table II -	Derivative	Securit	ties Ac	cquire	Person In thi displ	ons who	are not urrently f, or Be	required y valid O neficially	e collection of to respond MB control r	unless the		ined SEC	C 1474 (9-02)
1. Title of	2.	3. Transaction	3A. Deemed	4.	5.	ai i aii			ercisable			and Amount	8. Price of	9. Number	of 10.	11. Natu
Derivative Security (Instr. 3)  Price of Derivative Security  Security  Date Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Ac (A Di of (Instr. 8)		n Numb of Deriv Secur Acqu (A) of Dispo of (D) (Instr 4, and	rative rities ired rosed )	<b>F</b>		of Underly Securities (Instr. 3 an		Security (Instr. 5) Security Beneficia Owned Following Reported Transacti (Instr. 4)		Following Reported Transaction	Form of Derivation Security Direct or India	tive Ownersh ty: (Instr. 4) (D) rect				
							Date Exerc	isable		ration	Title	Amount or Number				

Code V

(A) (D)

Shares

300

200

1,265

625

300

200

1,265

625

D

D

D

D

11/13/2015 11/13/2025 Common

08/03/2012 08/03/2022 Common

04/04/2019 04/04/2026 Common

04/02/2015 04/02/2023 Common

Stock Option (Right- to-Buy)	\$ 120.28			04/02/2014	04/02/2022	Common	370	370	D	
Stock Option (Right- to-Buy)	\$ 136.82			04/02/2018	04/02/2025	Common	585	585	D	
Stock Option (Right- to-Buy)	\$ 142.12			04/06/2023	04/06/2030	Common	3,200	3,200	D	
Stock Option (Right- to-Buy)	\$ 149.34			04/02/2017	04/02/2024	Common	455	455	D	
Stock Option (Right- to-Buy)	\$ 149.72			04/03/2020	04/03/2027	Common	2,190	2,190	D	
Stock Option (Right- to-Buy)	\$ 160.1			04/03/2021	04/03/2028	Common	1,960	1,960	D	
Stock Option (Right- to-Buy)	\$ 163.43			04/04/2022	04/04/2029	Common	3,390	3,390	D	

#### **Reporting Owners**

D 4 0 V /	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Clulow Christopher C 500 JACKSON STREET COLUMBUS, IN 47201			VP - Corporate Controller					

### **Signatures**

/s/ Sharon Barner, Attorney-in-Fact	03/03/2021
**Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax liabilities relating to earned performance shares.
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

  (2) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.