

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Brockhaus John D			2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ____ Director ____ 10% Owner <input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below) VP-Human Resources Operations		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/08/2021					
500 JACKSON STREET								
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person ____ Form filed by More than One Reporting Person		
COLUMBUS, IN 47201								
(City) (State) (Zip)			<b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common	03/08/2021		S		30.1133	D	\$ 196.2488	0 (u)	I	By 401(k) Plan
Common								3,604	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**


1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Stock Option (Right-to-Buy)	\$ 109.09							04/04/2019	04/04/2026	Common	780	780	D	
Stock Option (Right-to-Buy)	\$ 110.6							05/03/2013	05/03/2023	Common	150	150	D	
Stock Option (Right-to-Buy)	\$ 133.94							06/26/2018	06/26/2028	Common	450	450	D	
Stock Option (Right-to-Buy)	\$ 136.82							04/02/2018	04/02/2025	Common	360	360	D	
Stock Option (Right-to-Buy)	\$ 141.13							05/01/2015	05/01/2025	Common	250	250	D	

Stock Option (Right-to-Buy)	\$ 142.12							04/06/2023	04/06/2030	Common	750		750	D
Stock Option (Right-to-Buy)	\$ 149.34							04/02/2017	04/02/2024	Common	280		280	D
Stock Option (Right-to-Buy)	\$ 149.72							04/03/2020	04/03/2027	Common	500		500	D
Stock Option (Right-to-Buy)	\$ 151.07							08/13/2019	08/13/2029	Common	400		400	D
Stock Option (Right-to-Buy)	\$ 160.1							04/03/2021	04/03/2028	Common	610		610	D
Stock Option (Right-to-Buy)	\$ 160.63							06/01/2017	06/01/2027	Common	300		300	D
Stock Option (Right-to-Buy)	\$ 163.43							04/04/2022	04/04/2029	Common	860		860	D
Stock Option (Right-to-Buy)	\$ 207.04							10/01/2023	10/01/2030	Common	860		860	D

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brockhaus John D 500 JACKSON STREET COLUMBUS, IN 47201			VP-Human Resources Operations	

## Signatures

/s/ Sharon Barner, Attorney-in-Fact	06/04/2021
	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.
- (1) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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