## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Embree Tracy	s of Reporting Person $^*$		2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [ CMI ]		onship of Reporting Person(s) Ill applicable) Director	) to Issuer 10% Owner
(Last) 500 JACKSON S	(First) TREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/10/2022	x	Officer (give title below) President - Distrib	Other (specify below) pution
(Street) COLUMBUS (City)	IN (State)	47201 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individ	lual or Joint/Group Filing (Che Form filed by One Reporting Form filed by More than One	g Person

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(instr. 4)
Common	11/10/2022		М		13,760	A	\$149.72	32,196	D	
Common	11/10/2022		М		13,570	A	\$163.43	45,766	D	
Common	11/10/2022		М		9,560	A	\$160.1	55,326	D	
Common	11/10/2022		М		6,700	A	\$136.82	62,026	D	
Common	11/10/2022		М		1,850	A	\$166.18	63,876	D	
Common	11/10/2022		М		1,420	A	\$149.34	65,296	D	
Common	11/10/2022		S		600	D	\$238.9138(1)	64,696	D	
Common	11/10/2022		S		1,200	D	\$239.9422(2)	63,496	D	
Common	11/10/2022		S		9,071	D	\$242.8678(3)	54,425	D	
Common	11/10/2022		S		13,272	D	\$241.2443(4)	41,153	D	
Common	11/10/2022		S		22,717	D	\$241.9684(5)	18,436	D	
Common								46.56 <sup>(6)</sup>	I	By 401(k) Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

(eigi, pate, saite, valtante, sphene, contention of continues															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Option (Right-to-Buy)	\$149.34	11/10/2022		М			1,420	04/02/2017	04/02/2024	Common	1,420	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$136.82	11/10/2022		М			6,700	04/02/2018	04/02/2025	Common	6,700	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$149.72	11/10/2022		М			13,760	04/03/2020	04/03/2027	Common	13,760	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$160.1	11/10/2022		М			9,560	04/03/2021	04/03/2028	Common	9,560	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$163.43	11/10/2022		М			13,570	04/04/2022	04/04/2029	Common	13,570	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$166.18	11/10/2022		М			1,850	10/16/2022	10/16/2029	Common	1,850	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$142.12							04/06/2023	04/06/2030	Common	13,320		13,320	D	

## Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$238.3900 - \$239.30. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$239.41 - \$240.3987 The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$242.53 - \$243.22. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

4. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$240.52 - \$241.51. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$241.5231 - \$242.52. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

6. The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan. The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

/s/ Sharon Barner, Attorney-in-11/14/2022

<u>Fact</u> \*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.