

| OMB APPROVAL | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | |
|--|---|---|
| 1. Name and Address of Reporting Person * <u>Satterthwaite Tony</u> (Last) (First) (Middle) 500 JACKSON STREET (Street) COLUMBUS IN 47201 (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>CUMMINS INC [CMI]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title below) Other (specify below) X Senior Vice President |
| | 3. Date of Earliest Transaction (Month/Day/Year) 11/11/2022 | |
| 4. If Amendment, Date of Original Filed (Month/Day/Year) | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common | 11/11/2022 | | M | | 6,404 | A | \$111.84 | 65,680 | D | |
| Common | 11/11/2022 | | M | | 1,300 | A | \$149.34 | 66,980 | D | |
| Common | 11/11/2022 | | S | | 1,000 | D | \$245.104 ⁽¹⁾ | 65,980 | D | |
| Common | 11/11/2022 | | S | | 1,300 | D | \$250.0527 ⁽²⁾ | 64,680 | D | |
| Common | 11/11/2022 | | S | | 1,617 | D | \$246.4493 ⁽³⁾ | 63,063 | D | |
| Common | 11/11/2022 | | S | | 3,787 | D | \$247.3065 ⁽⁴⁾ | 59,276 | D | |
| Common | 11/14/2022 | | M | | 7,610 | A | \$149.34 | 66,886 | D | |
| Common | 11/14/2022 | | S | | 7,610 | D | \$250.0571 ⁽⁵⁾ | 59,276 | D | |
| Common | | | | | | | | 2,274.1 ⁽⁶⁾ | I | By 401(k) Plan |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|----------------------------|--|--|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Stock Option (Right-to-Buy) | \$111.84 | 11/11/2022 | | M | | 6,404 | | 04/02/2015 | 04/02/2023 | Common | 6,404 | \$0.0000 | 0.0000 | D | |
| Stock Option (Right-to-Buy) | \$149.34 | 11/11/2022 | | M | | 1,300 | | 04/02/2017 | 04/02/2024 | Common | 1,300 | \$0.0000 | 7,610 | D | |
| Stock Option (Right-to-Buy) | \$149.34 | 11/14/2022 | | M | | 7,610 | | 04/02/2017 | 04/02/2024 | Common | 7,610 | \$0.0000 | 0.0000 | D | |
| Stock Option (Right-to-Buy) | \$109.09 | | | | | | | 04/04/2019 | 04/04/2026 | Common | 24,250 | | 24,250 | D | |
| Stock Option (Right-to-Buy) | \$136.82 | | | | | | | 04/02/2018 | 04/02/2025 | Common | 11,170 | | 11,170 | D | |
| Stock Option (Right-to-Buy) | \$142.12 | | | | | | | 04/06/2023 | 04/06/2030 | Common | 24,510 | | 24,510 | D | |
| Stock Option (Right-to-Buy) | \$149.72 | | | | | | | 04/03/2020 | 04/03/2027 | Common | 15,630 | | 15,630 | D | |
| Stock Option (Right-to-Buy) | \$160.1 | | | | | | | 04/03/2021 | 04/03/2028 | Common | 10,860 | | 10,860 | D | |
| Stock Option (Right-to-Buy) | \$163.43 | | | | | | | 04/04/2022 | 04/04/2029 | Common | 15,420 | | 15,420 | D | |
| Stock Option (Right-to-Buy) | \$166.18 | | | | | | | 10/16/2022 | 10/16/2029 | Common | 9,250 | | 9,250 | D | |

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$245 - \$245.18. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$250 - \$250.15. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$246.02 - \$246.98. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

4. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$247.08 - \$247.63. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$250 - \$250.66. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

6. The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan. The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

/s/ Sharon Barner, Attorney-in-Fact

11/15/2022

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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