## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres		Person* AN THOMAS	2. Issuer Name and Ticker or Trading Symbol <u>CUMMINS INC</u> [ CMI ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 500 JACKSON	(First) STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)     11/14/2022	X Director 10% Owner X Officer (give title Other (speci below) below) Chairman & Executive Chairman					
(Street) COLUMBUS (City)	IN (State)	47201 (Zip)	<ul> <li>4. If Amendment, Date of Original Filed (Month/Day/Year)</li> </ul>	6. Individual or Joint/Group Filing (Check X Form filed by One Reporting P Form filed by More than One R	Person				
	Table I - Non-Derivative Securities Acquired Disposed of or Beneficially Owned								

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common	11/14/2022		<b>M</b> <sup>(1)</sup>		54,809	A	\$111.84	192,147	D			
Common	11/14/2022		<b>S</b> <sup>(1)</sup>		54,809	D	\$250.2053(2)	137,338	D			
Common								8,923.24 <sup>(3)</sup>	I	By 401(k) Plan		
Common								21,930	I	By Child #1		
Common								21,929	I	By Child #2		
Common								240	I	By Spouse		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

			(e.g	J., puis	, cai	15, wa	manus,	options, c	convertin	le securitie	:5)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (Right-to-Buy)	\$111.84	11/14/2022		<b>M</b> <sup>(1)</sup>			54,809	04/02/2015	04/02/2023	Common	54,809	\$0.0000	0.0000	D	
Stock Option (Right-to-Buy)	\$109.09							04/04/2019	04/04/2026	Common	131,920		131,920	D	
Stock Option (Right-to-Buy)	\$136.82							04/02/2018	04/02/2025	Common	60,780		60,780	D	
Stock Option (Right-to-Buy)	\$142.12							04/06/2023	04/06/2030	Common	95,920		95,920	D	
Stock Option (Right-to-Buy)	\$149.34							04/02/2017	04/02/2024	Common	44,890		44,890	D	
Stock Option (Right-to-Buy)	\$149.72							04/03/2020	04/03/2027	Common	85,050		85,050	D	
Stock Option (Right-to-Buy)	\$160.1							04/03/2021	04/03/2028	Common	61,700		61,700	D	
Stock Option (Right-to-Buy)	\$163.43							04/04/2022	04/04/2029	Common	98,670		98,670	D	

Explanation of Responses:

1. Transactions pursuant to Rule 10b5-1 Trading Plan entered into on November 23, 2021.

2. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$250 - \$250.91. The reporting person undertakes to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

3. The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan. The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

/s/ Sharon Barner, Attorney-in-11/16/2022 Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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