FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| $\sim$ | AP | ᇚ | $\sim$ | / A |
|--------|----|---|--------|-----|
|        |    |   |        |     |

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defens<br>10b5-1(c). See Ins                     | e conditions of Rule struction 10. |          |   |  |
|--|------------------------------------|----------|---|--|
| Name and Address of Reporting Person*     Davis Amy Rochelle |                                    |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  CUMMINS INC [ CMI ] | Relationship of Reporting Person(s) to Issuer     (Check all applicable)     Director 10% Owner  |
| (Last)<br>500 JACKSON  | (First)                            | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 04/03/2023             | X Officer (give title Other (specify below)  VP & Pres Accelera and Com  |
| (Street) COLUMBUS  | •                                  |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |
| (City)   | (State)                            | (Zip)    |   |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |   |                                    | Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--|---|---|---|--|---|------------------------------------|--------------------|---|-------------------------|
|                                 |  |   | Code                                    | v | Amount (A) or (D) Price  |   | Transaction(s)<br>(Instr. 3 and 4) |                    | (Instr. 4)  |                         |
| Common                          | 04/03/2023                                 |   | A                                       |   | 2,020(1)   | A | \$0.0000                           | 11,501.013         | D   |                         |
| Common                          | 11/30/2024                                 |   | A                                       |   | 3,708(2)   | A | \$0.0000                           | 15,209.013         | D   |                         |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|---------------------------------|---|-----|--|---------------------|--|--------|---|----------|--|---------------------------------------|--|
|   |   |  |   | Code                            | v | (A) | (D)  | Date<br>Exercisable | Expiration<br>Date   | Title  | Amount<br>or<br>Number<br>of Shares                 |          | Transaction(s)<br>(Instr. 4)   |                                       |  |
| Stock Option<br>(Right-to-Buy)                      | \$142.12  |  |   |                                 |   |     |  | 04/06/2023          | 04/06/2030   | Common | 2,130   |          | 2,130  | D                                     |  |
| Stock Option<br>(Right-to-Buy)                      | \$149.72  |  |   |                                 |   |     |  | 04/03/2020          | 04/03/2027   | Common | 685   |          | 685  | D                                     |  |
| Stock Option<br>(Right-to-Buy)                      | \$160.1   |  |   |                                 |   |     |  | 04/03/2021          | 04/03/2028   | Common | 1,300   |          | 1,300  | D                                     |  |
| Stock Option<br>(Right-to-Buy)                      | \$163.43  |  |   |                                 |   |     |  | 04/04/2022          | 04/04/2029   | Common | 2,160   |          | 2,160  | D                                     |  |
| Stock Option<br>(Right-to-Buy)                      | \$170.95  |  |   |                                 |   |     |  | 07/01/2023          | 07/01/2030   | Common | 800   |          | 800  | D                                     |  |

#### Explanation of Responses:

- 1. Reflects 2,020 restricted stock units that vest on March 1, 2026.
- 2. Represents matching restricted stock units granted under the Cummins Inc. Deposit Share Program that will vest on May 31, 2028 and be settled in shares of Cummins Inc. common stock to the extent the vesting conditions of the Program are satisfied. Due to contingencies in the Program, the number of units became determinable on November 30, 2024.

/s/ Nicole Y. Lamb-Hale, Attorney-in-Fact

12/03/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.