## FORM 4

Stock Option

(Rightto-Buy) Stock Option

(Rightto-Buy) \$ 111.84

\$ 119.77

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

contin	5 obligations nue. <i>See</i> ction 1(b).	Filed p	oursuant to Section			ecurities nt Comp				or Secti	ion 30(h)	of the			
1. Name an	pe Response nd Address o vaite Tony	f Reporting Person*				and Ticker		ing Sym	ıbol	5		nip of Reporting (Check	all applicabl	e)	
(Last) (First) (Middle) 500 JACKSON STREET			CUMMINS INC [CMI]  3. Date of Earliest Transaction (Month/Day/Year)							Director					
SUU JACKSUN STREET (Street)			04/28/2017  4. If Amendment, Date Original Filed(Month/Day/Year)							6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person					
COLUM	BUS, IN 4	17201								-	Form filed b	y More than One R	eporting Person		
(Cit		(State)	(Zip)									d of, or Benefi	-	d	
(Instr. 3)		2. Transaction Date (Month/Day/Year	2A. Deemed Execution Dat any (Month/Day/Y		(Instr. 8	or D		Securities Acquired (A) Disposed of (D) nstr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
								A	(A) or				or Indirect	(Instr. 4)	
Common	1		04/28/2017			Code M(1)		4,000	- ` ´	9.42	41,902			(Instr. 4)	
Common	1		04/28/2017			S <sup>(1)</sup>		4,000	D \$ 151	.0347	37,902		D		
Common										2,374.76	(2)		I	By 401(k) Plan	
1. Title of Derivative Conversion Date Security (Instr. 3)  Price of Derivative Security  1. Title of 2. 3. Transaction Date (Month/Day/Ye		Date	3A. Deemed Execution Date, if	4. Transact	5. Nu bition Deriv Secur Acqu Dispo	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and		Expiration Date of (Month/Day/Year) Sec		7. Titl of Un Secur	le and Amou derlying		9. Number of Derivative Securities Beneficially Owned Following	Owners Form of Derivat Securit Direct	Beneficial Ownersh (Instr. 4)
				Code	5) V (A)	(D)	Date Exerc	isable	Expiration Date	Title	Amo or Num of Share	ber	Reported Transaction (Instr. 4)	or India (I) (Instr. 4	
Stock Option (Right- to-Buy)	\$ 19.42	04/28/2017		M <sup>(1)</sup>		4,000	03/02	2/2011	03/02/2019	Com	mon 4,0	00 \$0	8,830	D	
Stock Option (Right- to-Buy)	\$ 149.72	05/01/2017		A	15,6	30	04/03	3/2020	04/03/2027	7 Com	mon 15,6	\$0	15,630	D	
Stock Option (Right- to-Buy)	\$ 58.115						03/0	1/2012	03/01/2020	) Com	mon 8,4	50	8,450	D	
Stock Option (Right- to-Buy)	\$ 109.09						04/04	4/2019	04/04/2026	6 Com	mon 24,2	50	24,250	D	

04/02/2015 04/02/2023 Common 11,920

05/02/2013 05/02/2021 Common 8,150

11,920

8,150

D

D

Stock Option (Right- to-Buy)	\$ 120.28			04/02/2014	04/02/2022	Common	9,190	9,190	D	
Stock Option (Right- to-Buy)	\$ 136.82			04/02/2018	04/02/2025	Common	11,170	11,170	D	
Stock Option (Right- to-Buy)	\$ 149.34			04/02/2017	04/02/2024	Common	8,910	8,910	D	

### **Reporting Owners**

D 41 0 N 4	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Satterthwaite Tony 500 JACKSON STREET COLUMBUS, IN 47201			President - Distribution						

#### **Signatures**

/s/ Mark Sifferlen, Attorney-In-Fact	05/02/2017
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $\textbf{(1)}\ \ Transactions\ pursuant\ to\ Rule\ 10b5-1\ Trading\ Plan\ entered\ into\ on\ February\ 27,2017.$
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan. The (2) actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

 $Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, {\it see}\ Instruction\ 6 for procedure.$ 

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.