

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL	
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * <b>Smith Mark Andrew</b>			2. Issuer Name and Ticker or Trading Symbol <b>CUMMINS INC [CMI]</b>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ <input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below) _____ <b>VP - Chief Financial Officer</b>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <b>02/09/2021</b>					
500 JACKSON STREET								
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
COLUMBUS, IN 47201								
(City) (State) (Zip)			<b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common	02/09/2021		M	(1)	528	A	\$ 119.77	6,771	D	
Common	02/09/2021		S	(1)	352	D	\$ 244.643	6,419	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Stock Option (Right-to-Buy)	\$ 119.77	02/09/2021		M	(1)	528	05/02/2013	05/02/2021	Common	528	\$ 0	0	D	
Stock Option (Right-to-Buy)	\$ 109.09						04/04/2019	04/04/2026	Common	4,360		4,360	D	
Stock Option (Right-to-Buy)	\$ 111.84						04/02/2015	04/02/2023	Common	770		770	D	
Stock Option (Right-to-Buy)	\$ 114.13						06/01/2019	06/01/2026	Common	970		970	D	
Stock Option (Right-to-Buy)	\$ 120.28						04/02/2014	04/02/2022	Common	590		590	D	

Stock Option (Right-to-Buy)	\$ 136.82							04/02/2018	04/02/2025	Common	1,790		1,790	D	
Stock Option (Right-to-Buy)	\$ 142.12							04/06/2023	04/06/2030	Common	21,310		21,310	D	
Stock Option (Right-to-Buy)	\$ 149.34							04/02/2017	04/02/2024	Common	1,070		1,070	D	
Stock Option (Right-to-Buy)	\$ 149.72							04/03/2020	04/03/2027	Common	4,380		4,380	D	
Stock Option (Right-to-Buy)	\$ 160.1							04/03/2021	04/03/2028	Common	6,080		6,080	D	
Stock Option (Right-to-Buy)	\$ 163.43							04/04/2022	04/04/2029	Common	21,580		21,580	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Smith Mark Andrew 500 JACKSON STREET COLUMBUS, IN 47201			VP - Chief Financial Officer	

## Signatures

/s/ Sharon Barner, Attorney-in-Fact		02/11/2021
<small>**Signature of Reporting Person</small>		<small>Date</small>

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock options exercised and reported on this Form 4 were exercised in "sell-to-cover" transactions with respect to stock options that were set to expire in the near term. Any shares sold in such transactions were sold solely to cover the exercise price, fees and taxes associated with the stock option exercises.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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