FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

to-Buy) Stock Option

(Rightto-Buy) Stock Option

(Rightto-Buy) \$ 160.1

\$ 163.43

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

| may c | continue. See ction 1(b). | | suant to Section | | | | | ies Exc npany | _ | | | or Sec | ction | 1 30(h) (| of the | | | | |
|---|---------------------------|--------------------|---|---|---|--|--------------|---|---------|--|----------|--------------------|--|--|--|--|------------------------------------|---|------------|
| (Print or Ty | pe Response | es) | | | | | | | | | | | | | | | | | |
| Name and Address of Reporting Person * Davis Amy Rochelle | | | | 2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | | |
| (Last) (First) (Middle) 500 JACKSON STREET | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021 | | | | | | | | ĺ | | Officer (giv | VP & Pres. | | er (specify bel | ow) | |
| (Street) COLUMBUS, IN 47201 | | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line)X_Form filed by One Reporting PersonForm filed by More than One Reporting Person | | | | | | |
| (Cit | | (State) | (Zip) | | | , | Tabl | e I - Non | ı-Deriv | ative So | ecuritie | s Acqui | red, | Disposed | of, or Bene | eficially Own | ed | | |
| (Instr. 3) Date | | | 2. Transaction Date (Month/Day/Year | Execut any | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Co (In: | (Instr. 8) | | 4. Securities Acq (A) or Disposed (Instr. 3, 4 and 5 | | of (D) Owr Tran | | . Amount of Securities Beneficially Owned Following Reported Transaction(s) Instr. 3 and 4) | | ed | Ownership Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | ect ial |
| | | | | | | Code V | | V A | mount | (A) or (D) | Price | | | , | | | | | |
| Common | ì | | 03/01/2021 | | | | | A | | ,612 | A | \$ 0 | 7,695.013 | | | D | | | |
| 1. Title of Derivative Security (Instr. 3) | Conversion | e (Month/Day/Year) | 3A. Deemed Execution Date, if | (e.g., puts, can define the defined of the defined | | 5. Number of Derivat Securiti Acquire (A) or Dispose | | Expiration Date (Month/Day/Year) ive es d | | | | | Amount 8. Price of Derivative Security | | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) | Owners Form o Derivat Securit Direct (or Indir | f Bene Own (Instruction of D) eect | direc eficia ershi | |
| | | | | Code | v | of (D) (Instr. 4, and | . 3, 1 5) | Date Exercis | able | Expira Date | tion | Title | | Amount or Number of Shares | | (Instr. 4) | (Instr. 4 | •) | |
| Stock Option (Right- to-Buy) | \$ 109.09 | | | | | | | 04/04/ | /2019 | 04/04 | ·/2026 | Comn | non | 1,265 | | 1,265 | D | | |
| Stock Option (Right- to-Buy) | \$ 135.22 | | | | | | | 06/01/ | /2018 | 06/01 | /2025 | Comn | non | 585 | | 585 | D | | |
| Stock Option (Right- to-Buy) | \$ 142.12 | | | | | | | 04/06/ | /2023 | 04/06 | 5/2030 | Comn | non | 2,130 | | 2,130 | D | | |
| Stock Option (Right- | \$ 149.72 | | | | | | | 04/03/ | /2020 | 04/03 | 3/2027 | Comn | non | 815 | | 815 | D | | |

04/03/2021 04/03/2028 Common

04/04/2022 04/04/2029 Common 2,160

1,300

1,300

2,160

D

D

| Stock Option (Right- | \$ 170.95 | | | | 07/01/2023 | 07/01/2030 | Common | 800 | 800 | D | |
|----------------------------|-----------|--|--|--|------------|------------|--------|-----|-----|---|--|
| to-Buy) | | | | | | | | | | | |

Reporting Owners

| | D | Relationships | | | | | | | | |
|----|-----------------------------------|---------------|--------------|-----------------------------|-------|--|--|--|--|--|
| | Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | |
| D | avis Amy Rochelle | | | | | | | | | |
| 50 | 00 JACKSON STREET | | | VP & Pres New Power Segment | | | | | | |
| C | OLUMBUS, IN 47201 | | | | | | | | | |

Signatures

| /s/ Sharon Barner, Attorney-in-Fact | 03/02/2021 |
|-------------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.