## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

(Rightto-Buy) Stock Option

(Rightto-Buy) \$ 136.82

11/11/2021

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Instru	cuon 1(b).			111	vesui	1011	it Com	parry 11	Ct 01	1)7	.0							
	pe Response		*		2.7		1.001		1: G		,		5 Palational	in of Donortis	ng Parsan(s)	to Icen	or.	
Name and Address of Reporting Person      Fier Walter J				2. Issuer Name and Ticker or Trading Symbol CUMMINS INC [CMI]								,	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 500 JACKSON STREET				3. Date of Earliest Transaction (Month/Day/Year) 11/11/2021								-	Director10% Owner X_Officer (give title below) Other (specify below) VP - Chief Technical Officer					
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)								6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person						
COLUM	BUS, IN 4	7201											Form filed b	y More than One	Reporting Perso	n		
(City	y)	(State)	(Zip)				Table	I - Non-	Deriva	ative	Securi	ties Acqui	red, Dispose	d of, or Bene	ficially Owr	ied		
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea		Date	2A. Deemed Execution Date, if any (Month/Day/Year)		(Instr. 8)		4. Securities Acquir or Disposed of (D) (Instr. 3, 4 and 5)		D)	5. Amount of Owned Follor Transaction(s (Instr. 3 and 4				rship of Be (D) Ov	Nature Indirect eneficial wnership astr. 4)			
							Code	v	Amo	unt	or (D)	Price				(I) (Instr.	4)	
Common	ı		11/11/2021				M		3,39	00	A S	\$ 109.09	7,093.692	2		D		
Common	l		11/11/2021				M		2,81	0	A \$	\$ 149.72	9,903.692	2		D		
Common	l		11/11/2021				M		1,96	50	A S	\$ 160.1	11,863.69	92		D		
Common	ı		11/11/2021				M		1,34	10	A \$	\$ 136.82	13,203.69	92		D		
Common 11/11/2021			11/11/2021				M		560		A \$	\$ 149.34	13,763.69	92		D		
Common	l		11/11/2021				S		35		D §	\$ 234.69	13,728.69	92		D		
Common	l		11/11/2021				S		1,98	32		§ 236.2037 <u>1)</u>	11,746.69	92		D		
Common 11/1		11/11/2021			S		5,34	13			6,403.692			D				
Common	l												82.245			I	B <sub>2</sub> S <sub>1</sub>	y
Reminder:	Report on a s	separate line for each	ch class of securities	beneficia	lly owi	ned	directly	Per in t	sons	rm a	are not	t required		of informa d unless the number.		ned	SEC 14	74 (9-02)
			Table II				ities Acq warrants					neficially ( urities)	Owned					
Derivative Conversion Date Executive or Exercise (Month/Day/Year) any		3A. Deemed Execution Date, if any (Month/Day/Year	4. 5. Nr Transaction of Deri Code Secu Acqu (A) (Disp of (L		urities uired or osed or. 3, 4,		Exercisable and		7. Title of Und Securit	lerlying	8. Price of Derivative Security (Instr. 5)	9. Number Derivative Securities Beneficially Owned Following Reported Transactior (Instr. 4)	y De See Dir	wnership orm of erivative curity: rect (D) Indirect	11. Natur of Indirec Beneficia Ownersh (Instr. 4)			
				Code	V (A	A)		Date Exercisa	ble	Exp Date	iration e	Title	Amount or Number of Shares	er				
Stock Option	\$ 149.34	11/11/2021		M			560	04/02/2	2017	04/	02/202	24 Comr	non 560	\$ 0	0		D	

1,340 04/02/2018 04/02/2025 Common 1,340

\$0

0

D

Stock Option (Right- to-Buy)	\$ 149.72	11/11/2021	М	2,8	10 04	1/03/2020	04/03/2027	Common	2,810	\$ 0	0	D	
Stock Option (Right- to-Buy)	\$ 160.1	11/11/2021	M	1,9	60 04	1/03/2021	04/03/2028	Common	1,960	\$ 0	0	D	
Stock Option (Right- to-Buy)	\$ 109.09	11/11/2021	M	3,3	90 04/	1/04/2019	04/04/2026	Common	3,390	\$ 0	0	D	
Stock Option (Right- to-Buy)	\$ 142.12				04/	1/06/2023	04/06/2030	Common	5,330		5,330	D	
Stock Option (Right- to-Buy)	\$ 163.43				04/	1/04/2022	04/04/2029	Common	3,085		3,085	D	
Stock Option (Right- to-Buy)	\$ 166.18				10/	0/16/2022	10/16/2029	Common	1,235		1,235	D	

#### **Reporting Owners**

B 41 0 N 4	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Fier Walter J 500 JACKSON STREET COLUMBUS, IN 47201			VP - Chief Technical Officer						

#### **Signatures**

/s/ Sharon Barner, Attorney-in-Fact	11/12/2021
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$236.0100 \$236.3500. The reporting person undertakes (1) to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$235.0000 \$235.9500. The reporting person undertakes (2) to provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.