### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

longer subject to Section 16. Form 4 or Form 5 obligations

Option

(Rightto-Buy) Stock Option

(Rightto-Buy) \$ 111.84

\$ 136.82

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

	ontinue. See ction 1(b).	Filed pt	irsuant to Section					npany A	_			34 or S	ectio	on 30(n) o	r tne				
1. Name ar	pe Response ad Address of vaite Tony	f Reporting Person	*					er or Tra	iding S	Syml	bol		5. I			ng Person(s)	ole)		
(Last) (First) (Middle) 500 JACKSON STREET				CUMMINS INC [CMI]  3. Date of Earliest Transaction (Month/Day/Year) 11/23/2021								X	Director 10% Owner  X_Officer (give title below) Other (specify below)  Vice Chairman						
(Street) COLUMBUS, IN 47201				4. If Amendment, Date Original Filed(Month/Day/Year)								_X_	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person _Form filed by More than One Reporting Person						
(Cit		(State)	(Zip)				Table	e I - Non-	-Deriv	ativ	e Secur	ities Acq	uired	l, Disposed	of, or Bene	ficially Owr	ıed		
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea			,		3. Tran Code (Instr.	nsaction 8)	or D	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)		D)			wing Reported		Form: Direct (D	p of l Ber ) Ow	7. Nature of Indirect Beneficial Ownership		
							Cod	le V	Amo	ount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	t (In	str. 4)
Common	1		11/23/2021				M		9,19	90	A	\$ 120.2	8 6	4,299			D		
Common		11/23/2021			S		2,60	63	D	\$ 227.175 (1)	55 6	61,636		D					
Common		11/23/2021	S		S		6,52	27	D	\$ 226.531 (2)	26.5317 55,1		55,109		D				
Common										2		2,989.87 <sup>(3)</sup>		I	By 40 Pla	1(k)			
Reminder:	Report on a s	separate line for eac	ch class of securities	beneficia - Derivat	-		•	Pe in t dis	rsons this fo splays	orm s a c	are no current	t requir ly valid	ed to OMB	respond of control n	unless the	ion contai	ned SE	C 147	4 (9-02)
ı	ı	T	1	(e.g., pu	ts, call	ls, w	varran	ts, optio	ıs, con	ıver	tible sec	curities)				ı			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  (Month/Day/Year)  3. Transaction Date Execution Date, is any (Month/Day/Year)  (Month/Day/Year)		(Instr. 8) Secur Acqu (A) o Dispo of (D		Expirat (Month rities pired or osed b) : 3, 4,		Oate Exercisable and oiration Date onth/Day/Year)		of U Secu	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owne Form Deriv Secur Direc or Inc	of ative ity: t (D) irect	11. Natu of Indire Benefic Owners (Instr. 4				
				Code	V (A	4)	(D)	Date Exercisa	able	Ex <sub>j</sub>	piration te	Title		Amount or Number of Shares					
Stock Option (Right- to-Buy)	\$ 120.28	11/23/2021		M				04/02/	2014	04	/02/20	22 Cor	nmo		\$ 0	0	Г	)	
Stock Option (Right- to-Buy)	\$ 109.09							04/04/	2019	04	/04/20	26 Cor	nmo	n 24,250		24,250	Г	)	
Stock																			

04/02/2015 04/02/2023 Common 11,920

04/02/2018 04/02/2025 Common 11,170

11,920

11,170

D

D

Stock Option (Right- to-Buy)	\$ 142.12			04/06/2023	04/06/2030	Common	24,510	24,510	D	
Stock Option (Right- to-Buy)	\$ 149.34			04/02/2017	04/02/2024	Common	8,910	8,910	D	
Stock Option (Right- to-Buy)	\$ 149.72			04/03/2020	04/03/2027	Common	15,630	15,630	D	
Stock Option (Right- to-Buy)	\$ 160.1			04/03/2021	04/03/2028	Common	10,860	10,860	D	
Stock Option (Right- to-Buy)	\$ 163.43			04/04/2022	04/04/2029	Common	15,420	15,420	D	
Stock Option (Right- to-Buy)	\$ 166.18			10/16/2022	10/16/2029	Common	9,250	9,250	D	

## **Reporting Owners**

D 4 0 N /	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Satterthwaite Tony								
500 JACKSON STREET			Vice Chairman					
COLUMBUS, IN 47201								

# **Signatures**

/s/ Sharon Barner, Attorney-in-Fact	11/23/2021
-Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$227.07 \$227.54. The reporting person undertakes to (1) provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$226.06 \$227.05. The reporting person undertakes to (2) provide to Cummins Inc., any security holder of Cummins Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range indicated.
- The number of shares is based on the dollar value of the reporting person's interest in the Cummins Stock Fund under the Company's 401(k) plan as most recently provided by the plan.

  (3) The actual number of shares underlying the interest is not known since the Cummins Stock Fund is a unitized account consisting of approximately 98% common stock and 2% cash or cash equivalents.

 $Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, {\it see}\ Instruction\ 6 for procedure.$ 

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.