SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Barner Sharon R						2. Issuer Name and Ticker or Trading Symbol <u>CUMMINS INC</u> [CMI]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) 500 JACKSO					3. Date of Earliest Transaction (Month/Day/Year) 11/22/2022									x	X Officer (give title below)			Other (specify below)		
(Street) COLUMBUS IN 47201 (City) (State) (Zip)					4. lf	X Fo								Form file	lual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date				insaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar				5. Amount Securities Beneficiall Following Transactio	y Owned Reported	Form	vnership 1: Direct (D) direct (I) 1: 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(instr. 4)		
Common 11/2					2/2022					v	204	1	D	\$0.0000	16,144			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	ite, T C	Code (Ins				6. Date Exercis Expiration Date (Month/Day/Ye		9	7. Title and Amount of Securities Underlying Derivative Security (In 3 and 4)		lerlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	S Form: Ily Direct (or Indir (I) (Inst	Ownership	Beneficial) Ownership ct (Instr. 4)	
				c	ode	v	(A)	(D)	Date Exercisa		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	ion(s)			
Stock Option (Right-to-Buy)	\$109.09								04/04/20	19 (04/04/2026	Co	ommon	5,540		5,540	D	D		
Stock Option (Right-to-Buy)	\$142.12								04/06/20	23 (04/06/2030	Co	ommon	15,990		15,99	0	D		
Stock Option (Right-to-Buy)	\$149.72								04/03/20	20 (04/03/2027	Co	ommon	5,929		5,929	9	D		

04/03/2021

04/04/2022

04/03/2028

04/04/2029

Common

Common

Explanation of Responses:

Stock Option

(Right-to-Buy) Stock Option

(Right-to-Buy)

\$160.1

\$163.43

/s/ Sharon Barner, Attorney-in-11/28/2022 Fact

** Signature of Reporting Person

9,560

12,978

Date

9,560

12,978

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.